

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: William S. Woods
Title: AUDIO SIGNAL PROCESSING

Docket No.: 899.009US1
Filed: September 10, 1999
Examiner: Xu Mei
Customer No.: 21186

Serial No.: 09/393,463
Due Date: N/A
Group Art Unit: 2747
Confirmation No.:

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Technology Center 2600

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

We are transmitting herewith the following attached items (as indicated with an "X"):

- ☒ A return postcard.
- ☒ A Supplemental Information Disclosure Statement (2 pgs.), Form 1449 (1 pg.), and copies of 11 cited documents.

If not provided for in a separate paper filed herewith, please consider this a PETITION FOR EXTENSION OF TIME for sufficient number of months to enter these papers and charge any additional fees or credit overpayment to Deposit Account No. 19-0743.

SCHWEGMAN, LUNDBERG, WOESSNER & KLUTH, P.A.
Customer Number 21186

By: David R. Cochran
Atty: David R. Cochran
Reg. No. 46,632

CERTIFICATE UNDER 37 CFR 1.8: The undersigned hereby certifies that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail, in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on this 11 day of November, 2003.

Paula Suchy
Name

Paula Suchy
Signature

SCHWEGMAN, LUNDBERG, WOESSNER & KLUTH, P.A. Customer Number 21186
(GENERAL)



09/393463

PATENT

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Applicant:	William S. Woods	Examiner:	Xu Mei
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SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Technology Center 2600

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

In compliance with the duty imposed by 37 C.F.R. § 1.56, and in accordance with 37 C.F.R. §§ 1.97 *et. seq.*, the enclosed materials are brought to the attention of the Examiner for consideration in connection with the above-identified patent application. Applicant respectfully requests that this Supplemental Information Disclosure Statement be entered and the documents listed on the attached Form 1449 be considered by the Examiner and made of record. Pursuant to the provisions of MPEP 609, Applicant requests that a copy of the 1449 form, initialed as being considered by the Examiner, be returned to the Applicant with the next official communication.

Pursuant to 37 C.F.R. §1.97(b), it is believed that no fee or statement is required with the Supplemental Information Disclosure Statement. However, if an Office Action on the merits has been mailed, the Commissioner is hereby authorized to charge the required fees to Deposit Account No. 19-0743 in order to have this Supplemental Information Disclosure Statement considered.

The Examiner is invited to contact the Applicant's Representative at the below-listed telephone number if there are any questions regarding this communication.

Respectfully submitted,

WILLIAM S. WOODS

By his Representatives,

SCHWEGMAN, LUNDBERG, WOESSNER & KLUTH, P.A.
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(612) 371-2157

Date 11 November 2003

By David R. Cochran
David R. Cochran
Reg. No. 46,632

CERTIFICATE UNDER 37 CFR 1.8: The undersigned hereby certifies that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail, in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on this 11 day of November, 2003.

Paula Suchy
Name

Paula Suchy
Signature

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449A/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Complete if Known

Application Number 09/393463

Filing Date September 10, 1999

First Named Inventor Woods, William

Group Art Unit 2747

Examiner Name Mei, Xu

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Attorney Docket No: 899.009US1

Sheet 1 of 1

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US PATENT DOCUMENTS

Examiner Initial *	USP Document Number	Publication Date	Name of Patentee or Applicant of cited Document	Class	Subclass	Filing Date If Appropriate
	US200/0002930 A1	06/07/2001	Kates, James M.	381	317	12/21/2000
	US-5,016,280	05/14/1991	Engebretson, A M., et al.	381	68	03/23/1988
	US-5,475,759	12/12/1995	Engebretson, A M.	381	68.2	05/10/1993
	US-6,072,884	06/06/2000	Kates, James M.	381	318	11/18/1997
	US-6,115,478	09/0/2000	Schneider, Anthony	381	314	04/16/1998
	US-6,219,427	04/17/2001	Kates, James M., et al.	381	318	09/12/1998
	US-6,434,246	08/13/2002	Kates, James M., et al.	381	312	10/02/1998
	US-6,434,247	08/13/2002	Kates, James M., et al.	381	312	07/30/1999
	US-6,498,858	12/24/2002	Kates, James M.	381	318	12/21/2000

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Foreign Document No	Publication Date	Name of Patentee or Applicant of cited Document	Class	Subclass	T ²
	EP-0579252	01/19/1994	Uetama, Eiji , et al.	HO4 N	1/23	
	WO-9960822	11/25/1999	Kates, James M., et al.	HO4 R	3/02	

OTHER DOCUMENTS -- NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
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EXAMINER**DATE CONSIDERED**

Substitute Disclosure Statement Form (PTO-1449)

* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 809. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional) ² Applicant is to place a check mark here if English language Translation is attached